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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	09/942,426-Conf. #7416
				Filing Date	August 29, 2001
				First Named Inventor	Peter R. Horsfall
				Art Unit	3691
				Examiner Name	O. Akintola
Sheet	1	of	1	Attorney Docket Number	E3331.0525

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	Hidekazu Miyoshi; "All about e-Stock Market"; First edition, Tokyo Shoseki Co., Ltd., April 25, 2000, Pages 36-49.	
	CB	Japanese Patent Office Action for corresponding Japanese Application No. 2003-523430, mailed January 6, 2009 (and English translation thereof).	

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